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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,460	08/03/2001	Jack L. Hong	4366-50

Douglas W. Swartz  
SHERIDAN ROSS P.C.  
Suite 1200  
1560 Broadway  
Denver, CO 80202-5141

**CONFIRMATION NO. 7012**

## FORMALITIES LETTER



\*OC000000006543280\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$672.
  - **\$432** for **24** total claims over 20.
  - **\$240** for **3** independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1512.**

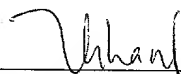
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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1-8-02

sector

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HONG et al.

Serial No.: 09/921,460/

Filed: August 3, 2001

Atty. File No.: 4366-50

For: "HIGH PERFORMANCE SERVER  
FARM WITH TAGGING AND  
PIPELINING"

) Group Art Unit: 2661

) Examiner:

) SUBMISSION OF MISSING PARTS

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 822580992US  
DATE OF DEPOSIT: November 6, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST  
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DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT  
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Angela P. Davis

SIGNATURE:

Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated September 17, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration and Power of Attorney, and the figures.

Please debit Avaya Inc. Deposit Account No. 50-1602 for \$1,512.00 which includes payment of the filing fee in the amount of \$1382.00 (\$710.00 basic filing fee; \$432.00 additional claims; and \$240.00 additional independent claims) reflecting large entity status, and the amount of \$130.00 payment of the surcharge. A copy of the Notice to File Missing Parts is also enclosed.

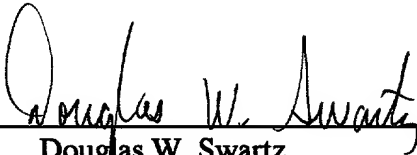
09/21/01 09:21:46

Serial No.: 09/921,460  
Atty. File No.: 4366-50

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

By:   
Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Nov - 6, 2001



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HONG et al.

Serial No.: 09/921,460

Filed: August 3, 2001

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For: "HIGH PERFORMANCE SERVER  
FARM WITH TAGGING AND  
PIPELINING"

Assistant Commissioner for Patents  
Attn: Drawing Review Branch  
Washington, D.C. 20231

Dear Sir:

Enclosed are eight (8) sheets of formal, inked drawings illustrating Figs. 1-9 of the application. Figs. 1-9 constitute all of the drawings in the application.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: *Douglas W. Swartz*  
Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Nov. 6, 2001

) Group Art Unit: 2661

) Examiner:

) SUBMISSION OF FORMAL DRAWINGS

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DATE OF DEPOSIT: November 6, 2001

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TYPED OR PRINTED NAME: Angela P. Davis

SIGNATURE: *Angela P. Davis*